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Attorney's Docket No. 10196-1 (125-511)

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Assistant Commissioner for Patents  
**Box Patent Application**  
Washington, D.C. 20231

**UTILITY PATENT APPLICATION TRANSMITTAL**

Sir:

Transmitted herewith for filing is the patent application of:

First Named Applicant (or Applicants):      **Stephen H. Brown**  
   **Robert A. Crane**  
   **Robert J. Saxton**  
   **William A. Weber**

Title of Application: **CONVERSION OF UNSATURATED CHEMICALS TO OLIGOMERS**

**1. Type of Application (37 C.F.R. 1.53(b))**

This application is a(n):

☒ Original (nonprovisional) application.

☐ Continuing application:

☐ Divisional                      ☐ Continuation                      ☐ Continuation-in-Part (CIP)

of Serial No. 08/\_\_\_\_\_, filed on \_\_\_\_\_.

**CERTIFICATION UNDER 37 CFR 1.10**

I hereby certify that this New Application Transmittal and the documents referred to as enclosed herein are being deposited with the United States Postal Service on this date, October 28, 1999, in an envelope as "Express Mail to Addressee" Mailing Label Number EL407220523US, addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Joyce Peterson  
Name of person mailing paper

Joyce Peterson  
Signature of person mailing paper

10/28/99  
JC520 U.S. PTO

JC675 U.S. PTO  
09/429295  
10/28/99

**2. Enclosed Papers Required to Obtain Application Filing Date under 37 CFR 1.53(b)**

13 Pages of specification

2 Pages of claims

1 Page of Abstract

3 Sheets of drawings ☐ Formal ☒ Informal

**3. Oath or Declaration**

☐ Newly executed Oath or Declaration (original or copy) is enclosed.

☐ Copy of Oath or Declaration from prior application 0 / \_\_\_\_\_ (37 C.F.R. 1.63(d)).

☐ The entire disclosure of the prior application, from which a copy of the oath or Declaration is supplied, is considered as being a part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

☐ With Power of Attorney

☐ Without Power of Attorney

**4. Additional Papers Enclosed**

☒ Return Receipt Postcard (specifically itemized) (M.P.E.P. § 503).

☐ Preliminary Amendment.

☐ Information Disclosure Statement (37 CFR 1.98).

☐ Form PTO-1449

☐ Copies of IDS Citations

☐ Nucleotide and/or Amino Acid Sequence Listing computer-readable copy, paper copy, and statement verifying identity of computer-readable and paper copies.

☐ Certified Copy of Priority Document(s).

☐ Verified translation of non-English language application (37 C.F.R. 1.52(d)).

☐ Other: \_\_\_\_\_

**5. Assignment**

☐ Newly Executed assignment with Recordation Cover Sheet (Form PTO-1595).

☐ Copy of Assignment from prior application No. 08 / \_\_\_\_\_.

**6. F Calculation (37 CFR 1.16)**

Regular Application (37 CFR 1.16(a))

Basic Fee \$760.00

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**FEEES FOR CLAIMS AS FILED**

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Number filed

Number extra

Rate

Total Claims

(37 CFR 1.16(c))    10 - 20    =    × \$ 18.00    =    \$0

Independent Claims

(37 CFR 1.16(b))    1 - 3    =    × \$ 78.00    =    \$0

Multiple Dependent Claims

(37 CFR 1.16(d))    + \$260.00    =    \$0

Fee Calculation for Extra Claims    \$0

☐ Amendment canceling extra claims enclosed.

☐ Amendment deleting multiple-dependencies enclosed.

Total Filing Fee Calculation    \$ 760.00

**7. Small Entity Statement**

☐ Verified Statement that this is a filing by a small entity under 37 CFR 1.9 and 1.27:

☐ is enclosed.

☐ will follow.

☐ Status as a small entity was claimed in prior application 08/\_\_\_\_, from which benefit is being claimed for this application under:

☐ 35 U.S.C. 119(e),

☐ 35 U.S.C. 120,

☐ 35 U.S.C. 121,

☐ 35 U.S.C. 365(c),

and which status as a small entity is still proper and desired.

☐ A copy of the verified statement in the prior application is enclosed.

Filing Fee Calculation (50% of Filing Fee calculated in Item 6 above)    \$ \_\_\_\_\_

**8. Fee Payment**

☒ Not enclosed. No filing fee is to be paid at this time.

☐ Enclosed:

☐ Basic filing fee (Item 6 or 7 above) \$ \_\_\_\_\_

☐ Fee for recording Assignment  
(\$40.00 (37 CFR 1.21(h))) \$ \_\_\_\_\_

☐ Processing and retention fee  
(\$130.00 (37 CFR 1.53(d) and 1.21(l))) \$ \_\_\_\_\_

Total fees enclosed \$ \_\_\_\_\_

**9. Correspondence Address**

Please address all correspondence to:

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